

Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER P20572
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			
INTERNATIONAL APPLICATION NO. PCT/KR99/00437	INTERNATIONAL FILING DATE 5 August 1999	PRIORITY DATE CLAIMED 6 August 1998	
TITLE OF INVENTION ULTRAMINIATURIZED RESERVE BATTERY CELL			
APPLICANT(S) FOR DO/EO/US Won-Bae LEE, Han CHUNG, and Ho-Jun LEE			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.			
<ol style="list-style-type: none"> <li><input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</li> <li><input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</li> <li><input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> has been communicated by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).</li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li><input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li><input type="checkbox"/> have been communicated by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. .371(c)(4)). "Executed"</li> <li><input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).</li> </ol>			
Items 11 to 16 below concern other document(s) or information included:			
<ol style="list-style-type: none"> <li>11. Assignee: <u>SEJU ENGINEERING CO., LTD. of Taejeon, KOREA</u></li> <li>12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>14. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> Figure of Drawing to be published <u>1A</u></li> <li>18. <input checked="" type="checkbox"/> Other items or information: Cover Letter of Executed Declaration Statement of Small Entity Status</li> </ol>			
<span style="font-size: 2em;">RECEIVED</span> AUG 29 2002 TC 1700			
<span style="font-size: 2em;">RECEIVED</span> SEP 04 2001 Technology Center 2600			

U.S. APPLICATION NO. (If known, see 37 CFR 1.6)		INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER	
09/774,951		PCT/KR99/00437	P20572	
19. <input checked="" type="checkbox"/> The following fees are submitted:			CALCULATIONS	PTO USE ONLY
<p>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</p> <p>Search report has been prepared by the EPO or JPO. .... \$ 860.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482). .... \$ 690.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)). .... \$ 710.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO. .... \$ 1,000.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). .... \$ 100.00</p>			<b>RECEIVED</b> <b>SEP 04 2001</b> <b>Technology Center 2600</b>	
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> <input checked="" type="checkbox"/> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)).			\$130.00	
Claims	Number Filed	Number Extra	RATE	
Total Claims	13 - 20 =	0	X \$18.00	\$ 0.00
Independent Claims	1 - 3 =	0	X \$80.00	\$ 0.00
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$ 0.00
TOTAL OF ABOVE CALCULATIONS =			\$130.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$ .			\$ 65.00	
SUBTOTAL =			\$ 65.00	
Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)).			+ \$ 0.00	
Extension of Time fee in the amount of \$			\$ 0.00	
TOTAL NATIONAL FEE =			\$ 65.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+ \$ 0.00	
TOTAL FEES ENCLOSED =			\$ 65.00	
01/03/2001 ATRON1 00000015 09774951				Amount to be refunded
01 FC:254 65.00 0P				Charged
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$65.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0089</u>.</p>				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055 AT THE PRESENT ADDRESS OF: Bruce H. Bernstein GREENBLUM & BERNSTEIN, P.L.C. 1941 Roland Clarke Place Reston, VA 20191 (703) 716-1191				
 SIGNATURE Arnold Turk NAME 33,094 REGISTRATION NUMBER				



P20572.P06 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Won-Bae LEE et al

**Attn: Application Branch**

Application No. : 09/774,951

Filed : February 6, 2001

For : ULTRAMINIATURIZED RESERVE BATTERY CELL

**STATEMENT OF SMALL ENTITY STATUS**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.27, Applicant(s) in the above-identified application hereby request(s) status as a small entity for purposes of paying fees.

Respectfully submitted,  
Won-Bae LEE et al

  
Arnold Turk  
Reg. No.33,094

March 30, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
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